

Update from the Center - Financial Services

December 2018

Upper Payment Limit (UPL)

Providers that operate Article 16, Article 31, or Article 32 clinics that do not provide outpatient hospital services under 42 CFR § 440.20, are required to submit a completed CFR Addendum - UPL Schedule for the calendar year 2017, fiscal year ended 6/30/2018, and calendar year 2018 reporting periods. This package must be certified by the Chief Executive Officer (CEO) and by an independent Certified Public Accountant (CPA). For 2017 Calendar Year CFR filers, their UPL Schedules are due to NYS with CPA certifications by December 15th. For 2017-2018 Fiscal Year CFR filers, their UPL schedules are due to NYS with CPA certifications by January 15th. For 2018 CFR reporting, UPL schedules will be included with your CFR submission requirements. For more information about the UPL Schedule, reach out to your respective NYS CFR Unit. [Click here to read more: Consolidated Fiscal Report \(CFR\) Addendum – Upper Payment Limit \(UPL\) Schedule, Clinic and Diagnostic Treatment Center UPL Data.](#)

2018 County Allocation Tracker (CAT)

Most counties should have completed updating their year-end 2018 CAT report or should be close to finalizing. Remember, the C CATs will be based off whatever is present in the ALFS web system at the point in time that NYS rolled 2018 to create the 2019 Base CAT. Please ensure that you have aligned your CAT that way that you want, so that you will not have to redo any of the starting points of your 2019 CAT.

State Aid Planning

NYS guidelines state that any unclaimed state aid may not be carried over to the next year and will be reconciled and recovered by the State after that year closeout reconciliation. With the end of the calendar year quickly approaching, counties should be finalizing plans to maximize state aid funding. Counties should request forecasts of provider funded programs to determine potential state aid surpluses that the county may redistribute prior to the end of the year.

State Aid Payment Timing

2019 state aid payments will most likely be advanced and received by counties late in December 2018. Remember that state aid advances paid out in the last week of 2018 are payments designated for the 2019 year. Please advise County Treasurers' Offices to book these state aid payments to the 2019 fiscal year and not to 2018. Counties should do internal state aid reconciliations to align the state aid payments received to the state aid letters for each disability to ensure they are booking state aid to the proper year that they are intended for.

Federal Medicaid Administration Cost Reports

Federal Medicaid Administration Cost Reimbursement Payments have been paid out up through the 2016 year. Counties should reserve any unspent Federal Medicaid Administrative Revenue to a deferred account so that they may be available in future years. Also, 2018 Quarter 3 Cost Reports are due to NYS on Friday December 28th.