

Financial Services Update

All 2017 Final Claims Should be Submitted and Approved

Now that summer is officially over, all 2017 Claims should be submitted and reviewed. By now, counties should have also maximized the 2017 State Aid Claims. Individual provider and county group approvals of OMH claims should be completed in ALFS as soon as possible. For OASAS claims, payments are currently being withheld until all final claims are submitted. For OPWDD the 10% holdback amount of 2017 State Aid will continue to be withheld until all final claims are submitted. Only then can the final claims be approved, closeouts processed, and holdback amounts released for payment.

Forecasting for the End of the Year

State Aid funding must be spent in the year that it was designated for regardless if an agency operates on a calendar year (upstate providers) or a fiscal year (NYC providers). State aid cannot be spent beyond the period it was designated for. Once surplus dollars are identified, county Local Governmental Units (LGUs) can use these funds for one-time provider requests, offset shortfalls in other programs, or supply additional funding as needed. LGUs should send a report or survey template to providers now so that they can identify potential surpluses and communicate any program needs to the LGU by November. Awards of surplus funds are sent to providers in late November / early December to give ample time to properly use these funds before the end of the year (May and June for NYC fiscal year LGUs).

Providers making equipment purchases will most likely need to consult with their respective field office for approval of any purchases that exceed thresholds. Please contact your local field office for details.

Contract Adjustments and Budget Amendments

For any additional state aid dollars to be advanced to providers, LGUs will most likely need to amend provider contracts and counties may need to amend multiple lines within the county budget.

By reviewing provider payments against contracts and budgets throughout the year, potential adjustments can be identified and accounted for prior to the end of the cycle. It is our recommendation to identify potential changes in the month of October, so that the changes can be made in November / December, and payments can be made in the cycle for which they were intended.